



# CITY OF MENTOR

## **FY 2020 COMMUNITY DEVELOPMENT BLOCK GRANT APPLICATION PACKAGE**

Applications must be received by:  
5:00 PM on Friday, May 1, 2020

Program Period  
October 1, 2020 to September 30, 2021

## **Funds Available**

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The total funds available for this grant cycle are unknown. The allocation is likely to align with past-year funding amounts. The FY2019 allocation was \$153,776. Administrative costs cannot exceed 20% of the total allocation, and public services cannot exceed 15% of the total allocation.

## **Meeting a National Objective**

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Federal regulations require that all projects meet one of the three national objectives:

- 1) Benefit low- and moderate-income (LMI) persons;
- 2) Aid in the elimination of slums and blight; or
- 3) Meet community needs having a particular urgency because existing conditions pose an immediate threat to public health or welfare.

## **Eligible Activities**

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**Please note:** Although an activity may be deemed eligible for CDBG funding, it does not guarantee funding. The Community Development Needs for the CDBG Program in the Consolidated Plan sets forth the priority of needs and, as such, dictates which types of eligible activities may be funded in a given year.

**Acquisition/Disposition:** these activities may include the acquisition of real property, in whole or in part, by purchase, long-term lease, donation, or otherwise, for any public purpose; real property to be acquired may include: land, air rights, easements, water rights, rights-of-way, buildings, and other property improvements, or other interests in real property.

**Demolition/Clearance:** these activities may include clearance, demolition, and removal of buildings and improvements including movement of structures to other sites.

**Economic Development Activities:** these activities may include but are not limited to: 1) Construction by the grantee or subrecipient of a business incubator designed to provide inexpensive space and assistance to new firms to help them become viable businesses; 2) Loans to pay for the expansion of a factory or commercial business; and 3) Providing training needed by persons on welfare to enable them to qualify for jobs created by CDBG-assisted special economic development activities.

**Rehabilitation:** these activities may include single-family rehabilitation, multi-family rehabilitation, energy efficiency improvements, public housing modernization, and rehabilitation of commercial properties

**General Administration:** grant funds may be used for the general administration costs incurred by a subrecipient to administer their CDBG program

**Relocation:** these activities may be used for relocation payments and assistance to displaced persons, including individuals, families, businesses, nonprofits, and farms, where required under section 570.606 of the regulations (pursuant to the Uniform Relocation Act)

**Public Facilities/Improvements:** these activities may include the acquisition (including long-term leases), construction, reconstruction, rehabilitation (including removal of architectural barriers to accessibility) or installation of public facilities. Buildings for the general conduct of government cannot be acquired or improved with CDBG funds; this includes neighborhood facilities, firehouses, public schools, and libraries, as well as water and/or sewer treatment plants

**Public Services:** services may be provided, as long as they meet the following criteria: 1) the public service must be either a new service or a quantifiable increase in the level of service; and 2) the amount of CDBG funds obligated within a program year to support public service activities under this category may not exceed 15% of the total allocation to the City of Mentor

Resource for Eligible Activities:

[https://files.hudexchange.info/resources/documents/CDBG\\_Guide\\_National\\_Objectives\\_Eligible\\_Activities.pdf](https://files.hudexchange.info/resources/documents/CDBG_Guide_National_Objectives_Eligible_Activities.pdf)

## **Funding Restrictions**

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- Programs or services that do not serve city of Mentor residents
- Political activities
- Programs that do not serve primarily low- to moderate-income persons
- Marketing, stipends, incentives, and fundraising
- Debt payments or pre-project payments
- Entertainment, furnishings, or personal property

## **Requirements**

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Applicants must meet the following requirements to be an eligible CDBG subrecipient.

- 501(c)3 designation with a Board of Directors, written bylaws, and articles of incorporation
- Services that benefit the City of Mentor residents
- Have a physical address with a legitimate office
- Show evidence of good fiscal management
- Have a federal tax identification number
- Possess a nine-digit Data Universal Numbering System (DUNS) number
- Be registered and in good standing with the System for Award Management (SAM)

## **Application Deadline**

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**Applications must be received no later than 5:00 PM on Friday, May 1, 2020. The applications may be submitted at City Hall at the Department of Planning window or via email.**

Deliver applications to:

**City of Mentor  
Department of Planning  
Attn: Larry Rastatter  
8500 Civic Center Boulevard  
Mentor, OH 44060**

Or mail applications to:

**City of Mentor  
City Manager's Office  
Attn: Stephanie Johnson  
8500 Civic Center Boulevard  
Mentor, OH 44060**

**OR via email to: [Johnson@cityofmentor.com](mailto:Johnson@cityofmentor.com) and  
[Rastatter@cityofmentor.com](mailto:Rastatter@cityofmentor.com)**

Late submissions will not be accepted.

## **Technical Assistance**

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The City will provide technical assistance to any applicant submitting a proposal. To request assistance, please contact Grant Supervisor Stephanie Johnson at [johnson@cityofmentor.com](mailto:johnson@cityofmentor.com) or 440-974-5714 or Planner Larry Rastatter at [rastatter@cityofmentor.com](mailto:rastatter@cityofmentor.com) or 440-974-5740.

## **Required Attachments**

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- 501(c)3 IRS Determination Letter
- Articles of Incorporation and Bylaws
- List of Board of Directors
- Program Administrator's Resume
- Financial Statements
- Most Recent Audit
- Timeline
- Proof of Active Status in SAM.gov
- Map of project location indicating the service area (Construction projects only)
- Photos for housing, facility, or infrastructure improvements (Construction projects only)
- Third party cost estimates of the project (Construction projects only)

**CITY OF MENTOR  
COMMUNITY DEVELOPMENT BLOCK GRANT  
APPLICATION AND CERTIFICATIONS**

SECTION 1: APPLICANT INFORMATION													
<b>Name of Organization</b>										<b>Year Organization was Founded</b>			
<b>Organization Physical Address</b>										<b>Organization Mailing Address</b>			
										<input type="checkbox"/> Same as physical address			
<b>Primary Point of Contact</b>					<b>Secondary Point of Contact</b>								
Name					Name								
Title					Title								
Phone					Phone								
Email					Email								
<b>Chief Executive Officer (Full Name)</b>					<b>Organization's Website</b>								
<b>Employer Identification Number (EIN)</b>					<b>DUNS Number</b>								
SECTION 2: PROJECT OVERVIEW													
<b>Project Title</b>										<b>Total CDBG Funds Requested</b>			
										\$			
<b>Total CDBG Funds Requested from Lake County</b>										<b>Project Total</b>			
\$										\$			
<b>Project Address(es)</b>					<b>Census Tract</b>				<b>Block Group</b>				
<input type="checkbox"/> Single-Family Housing Rehabilitation program projects not yet selected													
<b>Briefly Describe the Service Area of the Project</b>													
<b>Project Category (check one)</b>				<input type="checkbox"/> Public Service					<input type="checkbox"/> Capital Improvement				
<input type="checkbox"/> Planning or Administration				<input type="checkbox"/> Economic Development					<input type="checkbox"/> Housing Activities				
<b>Select the Applicable National Objective(s)</b>													
<input type="checkbox"/> Benefit to low- and moderate-income (LMI) persons													
<input type="checkbox"/> Aid in the prevention or elimination of slums or blight													
<input type="checkbox"/> Meet a need having a particular urgency													

Select which CDBG Criterion the Project Meets (check one)						
<input type="checkbox"/> <b>(A) Area Benefit</b> <i>At least 34.46% of residents within the targeted activity area are low- to moderate-income (LMI).</i>		<input type="checkbox"/> <b>(B) Limited Clientele (Select Subpart)</b> <input type="checkbox"/> (1) Special Needs Group <input type="checkbox"/> (i) Abused Children <input type="checkbox"/> (ii) Elderly Persons (62+) <input type="checkbox"/> (iii) Survivors of Domestic Violence <input type="checkbox"/> (iv) Severely Disabled Adults <input type="checkbox"/> (v) Illiterate Adults <input type="checkbox"/> (vi) Persons Living with HIV/AIDS <input type="checkbox"/> (vii) Migrant Farm Workers <input type="checkbox"/> (viii) Homeless Persons <input type="checkbox"/> (2) At least 51% of clientele to be served will be documented as LMI.				
<input type="checkbox"/> <b>(C) Housing (Select Subpart)</b> <input type="checkbox"/> (1) Single-family (all must be LMI) <input type="checkbox"/> (2) Multi-unit (> than 50% must be LMI)		<input type="checkbox"/> <b>(D) Job Creation</b> <i>At least 51% of jobs for LMI persons.</i>  Number of jobs to be created:				
<input type="checkbox"/> <b>(E) Elimination of Slum and Blight</b> <input type="checkbox"/> (1) Area Basis <input type="checkbox"/> (2) Spot Basis		<input type="checkbox"/> <b>(F) Urgent Need</b> <i>Describe.</i>				
Estimate of Number Served		Q1	Q2	Q3	Q4	Total
Proposed number of Mentor residents to be served or assisted						
Proposed number of households to be served or assisted						
Proposed number of businesses to be served or assisted						
Proposed number of housing units to be assisted						
Proposed number of public facilities to be assisted						
Proposed number of beds in overnight shelter or emergency housing						
Results/Outcomes by Project Category						
<b>For Public Service Activities or Public Facility/Infrastructure Projects:</b> Check one of the following HUD-specified performance measures or outcomes:						
<input type="checkbox"/> The proposed activity will provide <b>new access</b> to a service, facility, or an infrastructure benefit (i.e., the proposed program, service, facility, or infrastructure did not previously exist and is being provided for the first time)			<input type="checkbox"/> The proposed activity will provide improved access to a service, facility or infrastructure benefit (i.e., an existing program, service, facility, or infrastructure is being <b>improved or expanded</b> in terms of its size, capacity, or location)			

<p><b>For all proposed Housing Activities:</b> Check one of the following HUD-specified performance measure outcomes.</p>		
<input type="checkbox"/> The proposed activity will provide decent, affordable housing to low- and moderate-income homebuyers through direct financial assistance to homebuyers or through the development of homebuyer housing units (through new construction-CBP or the acquisition/rehabilitation/sale of existing housing)	<input type="checkbox"/> The proposed activity will provide decent, affordable housing to low- to moderate-income homeowners through housing rehabilitation, including emergency repairs, accessibility, energy efficiency, and other targeted home improvements	<input type="checkbox"/> The proposed activity will provide decent, affordable rental housing for low- and moderate-income households (through acquisition, rehabilitation, or construction-CBDP of rental housing; there are tenant income requirements and rent restrictions for projects)
<p><b>For all proposed Economic Development Activities:</b> Check one of the following HUD-specified performance measurement outcomes.</p>		
<input type="checkbox"/> The proposed economic development activity will directly result in the creation or retention of jobs for low- and moderate-income persons. The applicant will be required to report on the total number of jobs created or retained, the number of jobs with employer sponsored health care benefits, the number of persons who were previously unemployed, and the type of jobs created and retained.	<input type="checkbox"/> The proposed economic development activity will assist businesses (through rehabilitation or infrastructure improvements). The agency will be required to report on the number of new and existing businesses assisted, the number of businesses expanding or relocating, the number of businesses assisted with commercial façade treatment or exterior rehabilitation, and the number of assisted businesses that provide goods or services meeting the needs of a defined service area or neighborhood. (DUNS # required for all businesses)	
<p><b>For all Planning or Administration Activities:</b> Check one of the following HUD-specified performance measurement outcomes.</p>		
<input type="checkbox"/> The proposed activity will provide for the preparation of plans which may include data gathering, studies and analysis, and will produce a plan as the “end product”	<input type="checkbox"/> The proposed activity will provide for the general management, oversight, and coordination of the grant, which may include program management, monitoring, evaluation, drafting of grant-related documents, providing information and other resources to residents participating in the planning of CDBG-assisted activities, and fair housing activities carried out as part of general program administration	

**SECTION 3: NARRATIVES**

**DESCRIPTION OF NEED**

*In the space below, describe the problem or need to be addressed by the proposed project. Use data to support the need.*

**PROJECT DESCRIPTION**

*In the space below, describe the proposed project, its purpose, its beneficiaries, and its service area. Include specifics regarding the demographic characteristics of those who will be served by this project and how the project will directly benefit Mentor residents.*



**GOALS & OBJECTIVES**

*In the space below, provide a list of goals and measurable outcomes and the anticipated completion date for each.*

*Example Goal: Improve the quality of life for those experiencing homelessness in Mentor.*

*Example Objective: Provide support to at least ten (10) Mentor residents during the project period.*

**STRATEGY FOR MEASURING OUTCOMES**

*In the space below, briefly discuss how your organization will track and record the goals and objectives outlined in the section above.*

**ORGANIZATIONAL CAPACITY**

*In the space below, describe your organizational and professional qualifications to carry out the proposed program. Be sure to include the individuals responsible for specific tasks, their experience in that area, and the % of time to be allocated to CDBG.*

**SECTION 4: BUDGET DETAIL & NARRATIVE**

Total Mentor CDBG Request	Other Committed Grant Funds	Lake County CDBG Request (if applicable)	Total Cost of Program/Project
\$	\$	\$	\$

Enter the details of your Mentor CDBG request in categories A through H. Also, remember that Davis Bacon Wages apply to all non-residential construction projects over \$2,000.

**A. PERSONNEL**

Position Title	Rate	Units	Total
<i>Example: Counselor</i>	<i>\$15.00/hour</i>	<i>100 hours</i>	<i>\$1,500</i>
			\$
			\$
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

**B. FRINGE BENEFITS**

Position Title	Salary	Fringe Rate	Total
			\$
			\$
			\$
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

**C. TRAVEL** (use <https://www.gsa.gov/travel/plan-book/per-diem-rates>)

Purpose	Nights/Miles	Rate	Total
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

<b>D. SUPPLIES</b>			
<b>Item(s)</b>	<b>Units</b>	<b>Cost per Unit</b>	<b>Total</b>
			\$
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

<b>E. EQUIPMENT</b>			
<b>Item(s)</b>	<b>Units</b>	<b>Cost per Unit</b>	<b>Total</b>
			\$
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

<b>F. CONTRACTS</b>			
<b>Contractor</b>	<b>Units</b>	<b>Cost per Unit</b>	<b>Total</b>
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

<b>G. OTHER COSTS</b>			
<b>Item(s)</b>	<b>Units</b>	<b>Cost per Unit</b>	<b>Total</b>
			\$
			\$
			\$
			\$
<b>Total</b>			<b>\$</b>

**DESCRIPTION:**

H. INDIRECT COSTS (UP TO 20% ADMIN ALLOWED)			
Item(s)	Total Costs	%	Total
			\$
<b>Total</b>			<b>\$</b>
<b>DESCRIPTION:</b>			

<b>TOTAL MENTOR CDBG REQUEST</b>	<b>\$</b>
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SECTION 5: PROGRAM/PROJECT TIMELINE												
PROJECT TIMELINE												
Major Program Activity	Oct - Dec			Jan - March			April - June			July - Sept		
	<i>Each box represents one month.</i>											

<b><i>I certify this organization's governing board has given formal approval for submission of this application and that all facts, figures, and representations made in this application are true and correct to the best of my knowledge. Submission of this application signifies our intent to comply with all Federal guidelines.</i></b>	
Name of Chief Executive Officer	Title
Signature	Date

## APPENDIX A: SECTION 3 INFORMATION

Each year, the U.S. Department of Housing and Urban Development invests billions of federal dollars into distressed communities for projects designed to build and rehabilitate housing, improve roads, develop community centers, and otherwise assist families in achieving the American Dream. The Section 3 regulation recognizes that HUD funding typically results in projects/activities that generate new employment, training, and contracting opportunities. These economic opportunities not only provide “bricks and mortar” but can also positively impact the lives of local residents who live in the neighborhoods being redeveloped. Section 3 of the Housing and Urban Development Act of 1968 [12 U.S.C. 1701u and 24 CFR Part 135] is HUD’s legislative directive for providing preference to low-and very low-income residents of the local community (regardless of race or gender), and the businesses that substantially employ these persons, for new employment, training, and contracting opportunities resulting from HUD-funded projects.

Further, as a condition of receiving HUD Community Planning and Development assistance, recipients certify that they will comply with the requirements of Section 3 on an annual basis, pursuant to 24 CFR 570.607(b). Accordingly, the HUD has the legal responsibility to monitor recipients such as the City of Mentor for compliance and can impose penalties upon those that fail to meet these obligations.

All projects/activities involving housing construction, demolition, rehabilitation, or other public construction (i.e., roads, sewers, community centers) that are completed with CDBG funding are subject to the requirements of Section 3. Contractors or subcontractors that receive in excess of **\$100,000** for Section 3 covered projects/activities noted above are required to comply with the Section 3 regulations in the same manner as the direct recipient that provided funding to them. Section 3 applies to the entire covered project or activity regardless of whether the activity was fully or partially funded with covered assistance.

HUD requires that Entitlement Communities, such as the City of Mentor, demonstrate compliance to the “greatest extent feasible” by meeting minimal Section 3 numerical goals. Those minimal goals include: 1) Commit to employ Section 3 residents as 30% of the aggregate number of new hires; and 2) Commit to award at least 10% of the total dollar amount of all Section 3 covered contracts for building trades arising in connection with housing rehabilitation, housing construction, and other public construction to certified Section 3 businesses.

**APPENDIX B: HUD FY 19 INCOME LIMITS SUMMARY**

Mentor, Ohio

FY 19 Income Limit Area	Median Family Income	FY 2019 Income Limit Category	1 Person	2 Person	3 Person	4 Person	5 Person	6 Person	7 Person	8 Person
Cleveland-Elyria OH MSA	\$73,700	Very Low (50%) Income Limits (\$)	25,800	29,500	33,200	<b>36,850</b>	39,800	42,750	45,700	48,650
		Extremely Low Income Limits (\$)	15,500	17,700	21,330	<b>25,750</b>	30,170	34,590	39,010	43,430
		Low (80%) Income Limits (\$)	41,300	47,200	53,100	<b>58,950</b>	63,700	68,400	73,100	77,850

Source: <https://www.huduser.gov/portal/datasets/il/il2019/2019summary.odn>

## APPENDIX C: CONFLICT OF INTEREST POLICY

Federal, State, and Local law prohibits employees and public officials of the City of Mentor from participating on behalf of the City in any transaction in which they have a financial interest. In order to determine a possible conflict of interest, please indicate whether the applicant, any of the applicant's staff, any of the applicant's Board of Directors, any of the applicant's volunteers, or any of the applicant's family members or business partners is or has been within one year of the date of this application one of the following: (1) a City employee or consultant or (2) a City Council Member.

Selecting the "Yes" potential conflict of interest box does not automatically disqualify the applicant. However, further documentation must be provided upon request.

Please select the correct response.

- Yes, our organization has a potential conflict of interest as defined above.
- No, our organization does not have a conflict of interest as defined above.

If a conflict of interest has been identified, please elaborate below.